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10/16/01**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**Applicant(s): **Harris et al.**Serial No.: **08/259,413**Group Art Unit No.: **1651**Filed: **June 14, 1994**Examiner: **H. Lilling**For: **PEGYLATION REAGENTS AND COMPOUNDS  
FORMED THEREWITH**Docket No.: **S-210C**RECEIVED  
TECH CENTER 1600/2900  
01 OCT 15 AM 10:51**AMENDMENT AND PETITION TO CORRECT  
INVENTORSHIP UNDER 37 CFR 1.48(b)  
AND 37 CFR 1.48(c)**Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**1. Amendment and Petition**

This amendment and petition is to delete, under 37 CFR 1.48(b), the names of the following persons originally named as inventors and who are not the inventors of the invention now being claimed:

Milton Harris and David Kachensky

as a consequence of claims 1-14 and 16-44 being cancelled on December 1, 1998.

This amendment and petition is also to add, under 37 CFR 1.48(c), the names of the following persons as inventors of the invention now being claimed:

Robert C. Thompson and Michael T. Brewer

as a consequence of claims 45-58 (which ultimately depend from amended claim 15, amended December 1, 1998 and again on August 16, 1999) being added by amendment on December 1, 1998;

and to retain the name of the following person as one of the inventors of the invention now being claimed:

Tadahiko Kohno

**2. Averment**

Pursuant to Section 1.48(c), a separate Statement of Facts is being submitted herewith wherein each person being added as an inventor and the one retained inventor states that the addition is necessitated by amendment of the claims and that the inventorship error occurred without deceptive intention on his or her part.

3. Claims Now On File

The currently pending claim(s) in this application are as follows:  
(check and complete all applicable items)

- ☐ originally filed claim(s) \_\_\_\_\_
- ☒ originally filed claim(s) 15
- as amended on December 1, 1998 and on August 16, 1999; and
- ☒ claim(s) 45-58 filed on December 1, 1998
- ☐ claim(s) \_\_\_\_\_ filed on \_\_\_\_\_
- as amended on \_\_\_\_\_

4. Fee Payment

Please charge \$130.00, the surcharge required by §1.17(i), and charge \$920.00 for a 3-month extension of time (if needed), to Deposit Account No. 01-0519 in the name of Amgen Inc., as well as any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0519. **I enclose an original and one copy of this paper.**

Respectfully submitted,



Thomas D. Zindrick  
Attorney/Agent for Applicant(s)  
Registration No.: 32,185  
Phone: (805) 447-8101  
Date: October 12, 2001

Please send all future correspondence to:

US Patent Operations/TDZ  
Dept. 4300, M/S 27-4-A  
AMGEN INC.  
One Amgen Center Drive  
Thousand Oaks, California 91320-1799

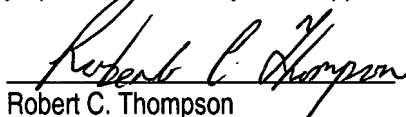
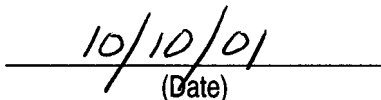
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FORMED THEREWITH**Docket No.: **S-210C**RECEIVED  
TECH CENTER 1600/2900  
01 OCT 15 AM 10:51**STATEMENT OF FACTS**Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The present application (*copy attached hereto as Exhibit A*) was filed on June 14, 1994, naming Milton Harris, Dave Kachensky and Tadahiko Kohno as inventors. The application as filed included claims 1-44. The application incorporated by reference U.S. Patent Application Serial No. 07/669,862 filed March 15, 1991, which is a continuation-in-part of abandoned U.S. Patent Application No. 07/555,275 filed July 19, 1990 and a continuation-in-part of U.S. Patent No. 5,075,222 issued December 24, 1991 (see e.g., the present specification at Page 1, paragraph 1). On December 1, 1998 Applicants' attorney filed a response (*copy attached hereto as Exhibit B*) cancelling claims 1-14 and 16-44, amending claim 15 and adding claims 45-58. Each claim enjoys the priority from at least the filing date of United States Patent Application Serial No. 07/669,862 (*copy attached hereto as Exhibit C*) filed March 15, 1991, entitled "Pegylation of Polypeptides". As a consequence of the claim amendments, the inventors of the pending claims are Robert C. Thompson, Michael Brewer and Tadahiko Kohno.

An amendment of the claims has necessitated correction of the inventorship for this patent application; the inventorship error existing in view of the claim amendment occurred without deceptive intent.

I further declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both (18 USC 1001), and may jeopardize the validity of the application or any patent issuing thereon.

  
Robert C. Thompson  
(Date)\_\_\_\_\_  
Michael T. Brewer\_\_\_\_\_  
(Date)\_\_\_\_\_  
Tadahiko Kohno\_\_\_\_\_  
(Date)

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Robert C. Thompson

(Date)

  
Michael T. Brewer10/8/01  
(Date)  
Tadahiko Kohno10/12/01  
(Date)